



1204.41259X00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: T. UCHIDA, et al.  
Application No.: 10/069,404  
Filed: MAY 6, 2002  
For: POLISHING MEDIUM FOR CHEMICAL-MECHANICAL  
POLISHING, AND POLISHING METHOD  
Group AU: 1765  
Examiner: Lynette T. Umez Eronini  
Confirm. No: 3597

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98****Mail Stop: AMEND – FEE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

March 12, 2007

SIR:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Official Action issued on December 12, 2006, in connection with a counterpart Japanese patent application, together with an English translation thereof. Also enclosed is a Form PTO/SB/08A, listing the enclosed documents.

This enclosed Official Action refers to a Notification of Reasons for Refusal of June 15, 2006, in the corresponding Japanese patent application. Note the Notification of Reasons for Refusal set forth in the Official Action dated June 27, 2006, and having a drafting date of June 15, 2006, in connection with the corresponding Japanese patent application. Such Official Action mailed June 27, 2006 has previously been submitted herewith for consideration by the Examiner, with the Information Disclosure Statement filed September 27, 2006, in the above-identified application.

This Information Disclosure Statement is being submitted subsequent to a Final Office Action in the above-identified application. For satisfying 37 CFR 1.97(d),

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March 12, 2007

the fee under 37 CFR 1.17(p) is enclosed, and the following Statement is made  
under 37 CFR 1.97(e):

Each item of information contained in this  
Information Disclosure Statement was first cited in  
any communication from a foreign patent office in a  
counterpart foreign application not more than three  
months prior to the filing of this Information  
Disclosure Statement.

While the enclosed Official Action is not in English, it is respectfully submitted  
that requirements of 37 CFR 1.98(a)(3) are satisfied by the English translation of the  
Official Action issued on December 12, 2006.

In view of the foregoing, it is respectfully submitted that all applicable  
requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with  
present submission of the enclosed documents. Accordingly, consideration of the  
enclosed documents, upon further examination of the above-identified application, is  
respectfully requested.

Kindly charge any shortage in fees due in connection with the filing of this  
paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit  
Account No. 01-2135 (case 1204.41259X00), and credit any excess fees to such  
deposit account.

Respectfully submitted,

**ANTONELLI, TERRY, STOUT & KRAUS, LLP**

By 

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT****March 12, 2007**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/069,404
Filing Date	May 6, 2002
First Named Inventor	T. UCHIDA, et al.
Art Unit	1765
Examiner Name	Lynette T. Umez Eronini
Attorney Docket Number	1204.41259X00

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)				

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials <sup>*</sup>	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Official Action, for Application No. 2001-520456, dated December 12, 2006.	T

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.